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RESPONSE PURSUANT TO 37 CFR §1.116 EXPEDITED PROCEDURE
GROUP ART UNIT 2172

PATENT 10022/039

Group Art Unit: 2172

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Stanton J. Taylor Serial No.: 09/677,134 Filed: September 29, 2000 DATA MANAGEMENT FOR NETCENTRIC COMPUTING SYSTEMS

Examiner: Jean M. Corrielus

#### RESPONSE TO ADVISORY ACTION MAILED DECEMBER 29, 2003

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Advisory Action mailed December 29, 2003, please consider the following remarks and enclosed Declaration from Inventor Stanton I. Taylor. No additional fees are believed to be required at this time. However, should any additional fees be deemed necessary, please charge such fees to Deposit Account No. 23-1925.

1 of 14

PAGE 1114 ' RCVD AT 11167004 4:58:01 PM [Eastern Standard Time] ' SVR:USPTO-EFXRF-111 ' DND:372306 ' CSD:3176346701 ' DURATION (mm-65):03-26

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I hereby certify that this correspondence is being facsimile-transmitted to the Commissioner for Patents and Trademarks at 703-872-9306 on <u>January 16, 2004</u>.

Carolyn Beason-Wright

**PATENT** 

Case No.: 10022/039

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)
	) Group Art Unit: 2172
Stanton J. Taylor	)
	) Examiner: Jean M. Corrielus
Serial No.: 09/677,134	)
	)
Filed: September 29, 2000	)
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For: DATA MANAGEMENT	)
FOR NETCENTRIC	)
COMPUTING SYSTEMS	)

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#### **REMARKS**

Claims 1-41 are pending in the present application. At the present time, claims 1-41 stand rejected based on the prior art of record. In particular, claims 1-2, 10, 14-15, 18 and 23 stand rejected under 35 U.S.C. §102(e) as being anticipated by Underwood (U.S. Patent No. 6,523,027). Claims 28-41 have been rejected under 35 U.S.C. §102(e) as being anticipated by Bowman Amuah (U.S. Patent No. 6,529,948). Claims 3-9, 11-13, 16-17, 19-22 and 24-26 have been rejected under 35 U.S.C. §103(a) as being unpatentable over Underwood in view of Bowman Amuah.

In response to the Office Action of September 16, 2003 and the Advisory Action mailed on December 29, 2003, Applicant hereby submits another Declaration of the applicant/inventor Mr. Stanton J. Taylor as Exhibit 1 which is enclosed. The Declaration is a Declaration of Prior Invention in the United States to Overcome Cited Patents pursuant to 37 C.F.R. § 1.131. The Declaration was executed on January 16, 2004 by Mr. Taylor, and declares that each and every feature of the invention claimed in claims 1-41 is based on and supported by a book entitled Netcentric and Client/Server Computing. A copy of the book entitled Netcentric and Client/Server Computing that was published on December 18, 1998 and forms part of the enclosed Declaration of Mr. Taylor was previously submitted in an office action response filed on November 17, 2003.

The enclosed Declaration of Mr. Taylor has established a date of invention of the subject matter disclosed by claims 1-41 that is prior to the effective date of the Underwood and Amuah patents cited by the Examiner. In addition, the Declaration of Mr. Taylor establishes that each and every feature of the invention claimed in claims 1-41 is based on and supported by Chapters

Filed: September 29, 2000 Serial No. 09/677,134

1-3 and 17-18 of the book. Accordingly, the Applicant has now provided a showing of facts of

sufficient character and weight in accordance with 37 CFR §1.131(b) to establish conception of

the invention prior to the effective date of the cited prior art.

The Examiner has also indicated that the Applicant is required to furnish formal drawings

in response to this Office Action. As Applicant pointed out in its previous response, Applicant

respectfully believes that formal drawings were filed with this application at the time of filing

and that the drawings as filed are correct. In addition, Applicant notes that as of today's date,

Applicant has not received a Notice of Draftperson's Patent Drawing Review (PTO-948) form

indicating that any changes need to be made to the drawings as filed. As such, Applicant cannot

respond to this request at this time because Applicant is unaware of what problems, if any, there

are with the drawings as originally filed.

Applicant believes that all of the present pending claims of this application are allowable

and respectfully requests the Examiner to issue a Notice of Allowance for this application

indicating the same. In the event a telephone conversation would help expedite the prosecution

of this application, the Examiner may reach the undersigned at (317) 636-0886.

Respectfully submitted,

Sanders N. Hillis

Attorney Reg. No. 45,712

BRINKS HOFER GILSON & LIONE

One Indiana Square, Suite 1600

Indianapolis, Indiana 46204

Telephone: 317-636-0886

Facsimile: 317-634-6701

### EXHIBIT 1

Declaration of Stanton S. Taylor Dated January 16, 2004

PATENT Case No.: 10022/039

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	) Group Art Unit: 2172
Stanton J. Taylor	) Examiner: Jean M. Corrielus
Serial No.: 09/677,134	)
Filed: September 29, 2000	). )
For: DATA MANAGEMENT FOR NETCENTRIC	)
COMPUTING SYSTEMS	)

# DECLARATION OF PRIOR INVENTION IN THE UNITED STATES TO OVERCOME CITED PATENTS (37 C.F.R. § 1.131)

- I, Stanton J. Taylor, do hereby humbly declare and state as follows:
- 1. I am the sole inventor of the present application.
- 2. This declaration is to establish invention in the United States of the subject matter embodied in claims 1-41 of this application at a date prior to July 30, 1999, which is the earliest effective date of U.S. Patent No. 6,523,027. In addition, this declaration is to establish invention in the United States of the invention embodied in claims 1-41 of this application at a date prior to August 31, 1999, which is the earliest effective date of U.S. Patent No. 6,529,948.
- 3. Each and every feature of the claimed invention as disclosed in claims 1-41 of the present application were conceived prior to the effective dates of July 30, 1999 and August 31, 1999 and are supported by and based on a book entitled Netcentric and Client/Server Computing A Practical Guide, which was published by Auerbach on December 18, 1998. I am a listed author of this book. Attached as Exhibit A is a printout from Amazon.com that sets forth the publication date of the

book as December 18, 1998. Auerbach is an imprint of CRC Press LLC, which is located at 2000 Corporate Boulevard N.W., Boca Raton, Florida 33431. As such, a date of conception of the invention embodied in claims 1-41 of this application can be established at least as early as December 18, 1998.

- 4. The features of the invention disclosed in claims 1-41 are supported by the concepts, ideas, etc. included in at least Chapters 1-3 and 17-18 of the book entitled "Netcentric and Client/Server Computing."
- 5. The contents of the book were filed as a U.S. Provisional Application Serial No. 60/156,962 on October 1, 1999 and entitled Netcentric and Client/Server Computing. As such, constructive reduction to practice of the invention embodied in claims 1-41 can be established as of the filing date of the provisional application. The present utility application was subsequently filed on September 29, 2000 and claims priority from the provisional application set forth above.
- 6. During the period between December 18, 1998 and the filing of U.S. Provisional Application Serial No. 60/156,962 on October 1, 1999, I was actively and diligently involved in construction and testing of concepts and working embodiments of the invention.
- 7. The invention disclosed by claims 1-41 of this application was conceived at least as early as December 18, 1998, which is a date earlier than the effective date of either of the cited references.

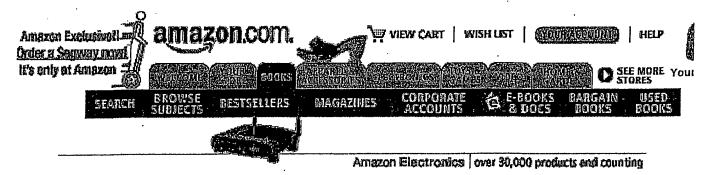
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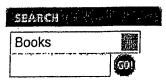
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Stanton J. Taylor

Date: /-/6-2004

### EXHIBIT A





WEB SEARCH

### **NetCentric and Client/Server Computing: A Practical Guide** by Mark Goodyear



List Price: \$109.95 Price: \$109.95 & This item snips for **FREE** with Super Saver Shipping. See details.

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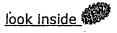
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#### Book News, Inc.

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**Book Description** 

From Federal Express's package tracking Website, to Amazon.com, netcentric computing has been evolving, slowly-but-surely, one solution at a time, since the early 1990s. Over the past year or so, the trickle has grown into a torrent of netcentric innovations of wider and wider scope, developed in companies around the globe. Now, a new enterprise computing paradigm has sprung into being. Until now, there has been no comprehensive netcentric model, clearly defined netcentric system architecture,... read more

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#### which good material, July 19, 2001

Reviewer: A reader from New Delhi, India

the material in this book is absolutely great, the concepts that are presented are something one wont get in college. the graphical user interface chapter, i think should have covered additional aspects like cohesion and coupling and good GUI practices in the software industry. the book is definitely a good buy even now (though it is 2 years old)

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#### 冷熱体 Very good material, June 15, 2000

Reviewer: christophet from Spain

This book covers a wide area of subjects related to Client/Server architecture, networks, architecture design, implementation guidelines, strategy, security... There is a good balance between theoretical and practical knowledge. Due to the extend of the subjects, it can not go in depth in all points, but it is definitely a very practical and usefull reference book, probably aimed at managers and consultors who want to update their knowledge or learn on specific network development. Price a bit step, but again there is a lot of information, and with Amazon discount, it makes it a good buy.

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